



Presence of Ethics committee

The University is at the forefront of higher education in India by balancing its commitment to academic excellence with emphasis on pursuit of ethical practice in Ph.D. Programme/Research Publications/Patents CSJMU has well defined Policy which includes

1. Code of Ethics
2. Human Ethical Committee
3. Animal Ethical Committee



Chhatrapati Shahu Ji Maharaj University Kanpur

(FORMERLY KANPUR UNIVERSITY, KANPUR)

Policy

for

**Code of Ethics &
Ethical Research**



Code of Ethics and Policy on Ethical Research

Introduction

The University is at the forefront of higher education in India by balancing its commitment to academic excellence with emphasis on all-round development of students. The curriculum promotes national development, fosters global competencies and facilitates skills training to meet the challenges of a competitive workplace.

The University also offers the following Ph.D. (Full-time and Part-time) Research

Programmes:

- Electronics
- Computer Engineering
- Computer Science
- Commerce
- Pharmacy
- Physiotherapy
- Life Science and Biotechnology
- Management Sciences
- Basic Sciences
- Languages and Humanities
- Fine Arts and Music
- Sociology
- Social Work and Life Long Learning & Extension

Scope and coverage

The Research Ethics Committee shall regulate the matter pertaining to:

- a. Ethical Research Practices
- b. Plagiarism prevention

Need for Code of Ethics and Policy on Ethical Research

University sees many a reason to adhere to ethical norms in research. The foremost among them is promoting quality research in search of knowledge and truth. It is equally important that prohibitions against artificially constructing, falsifying, or misrepresenting research data and curbing the practice of plagiarism will ensure quality research that contribute to find lasting solutions.

Principles of Ethical Research

University adopts the following principles in ethical research:

Objectivity: Consciously upholding the values of being independent and true and avoiding individual subjectivity.

Integrity: Adopting a high level of academic moral character, and intellectual honesty as well as assuming personal responsibility for the actions committed and omitted by the researcher.

Confidentiality: The researchers must respect the privacy, autonomy, diversity, values and dignity of research subjects and must be very sensitive while disclosing the information and data of public interest by ensuring the privacy and confidentiality of the source of information.

Transparency: The researchers must be as open as possible with respect to the decisions made and provide justifications for their actions.

Honesty: The researchers must possess unbiased and honest attitude in knowing and stating the truth.

Research Ethics Committee

The following are the guidelines to put in place an ethical committee in University with effect from 1st January 2020:

a. Name of the Committee: Research Ethics Committee

b. Constitution / Members of the Committee: The Research Ethics Committee shall consist of a Vice Chancellor and three other members as detailed below:

- 1) President – Hon'ble Vice Chancellor
- 2) Members – Three senior faculty members as nominated by the Hon'ble Vice Chancellor
- 3) Member Secretary – Dean (Academics)/Coordinator (Research) Research and Development)

c. Responsibilities:

1. To review the ethical safeguards of the proposed research.
2. To ensure the rights, safety and well-being of the trial subjects / respondents / participants.
3. To enquire the complaints against research supervisors / guides / candidates and make suitable recommendations to the Hon'ble Vice Chancellor

d. Meetings:

Regular meetings: There shall be regular ethics committee meetings once in every semester.

Emergency Meetings: A meeting shall be called for, by the Dean (Research and Development) on receiving the advices from the Hon'ble Vice Chancellor, whenever there arises emergency situations

Note: A request letter may be submitted to the Dean (Academics)/Coordinator (Research and Development) by any interested person who seeks an emergency meeting to absolve any issue related to her / his research

Publication of the Code of Ethics and Policy on Ethical Research

The University shall publicize the Code of Ethics and Policy on Ethical Research by circulating the same among the research guides / supervisors, registered research candidates, teaching staff of the University as well as published in the University Website in a prominent manner so as to attract the attention of the interested persons.



छत्रपति शाहू जी महाराज विश्वविद्यालय, कानपुर CHHATRAPATI SHAHUJI MAHARAJ UNIVERSITY, KANPUR

(पूर्ववर्ती कानपुर विश्वविद्यालय, कानपुर)
(Formerly Known as Kanpur University Kanpur-208024)

पत्रांक-सी.एस.जे.एम.वि.वि./R/cmp/1282/2022

दिनांक: 22/09/2022

कार्यालय-ज्ञाप

भारतीय आयुर्विज्ञान अनुसंधान परिषद की गाइडलाइन के अनुसार शोध कार्य हेतु आदेशानुसार मानव आचार समिति (Human Ethical Committee) का गठन किया जाता है।
(संलग्न गठित समिति)

डा०(अनिल कुमार यादव)
कुलसचिव

प्रतिलिपि: निम्नलिखित को सूचनार्थ एवं आवश्यक कार्यवाही हेतु प्रेषित।

1. समिति के सदस्यों को अनुपालनार्थ।
2. प्रभारी पी०एम०यू० को इस आशय से प्रेषित कि उक्त को विश्वविद्यालय की वेबसाइट पर अपलोड कराने का कष्ट करें।
3. निजी सचिव कुलपति, मा० कुलपति जी के अवलोकनार्थ।
4. वैय० सहा० प्रति-कुलपति।
5. वैय० सहायक वित्त अधिकारी/कुलसचिव/परीक्षा नियंत्रक।
6. सम्बन्धित पत्रावली।

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कुलसचिव

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HUMAN ETHICAL COMMITTEE

Ethical committee members

S.No.	Members of EC	Name	Eligibility/qualifications
1.	Chairperson Non-affiliated Qualifications - A well-respected person from any background with prior experience of having served/ serving in an EC	Prof M.P. Mishra Ex Director & HOD Department of Pathology JK Cancer Institute, Kanpur	MBBS, MD Served as member of EC in JK Cancer Institute, Kanpur UP
2.	Vice Chairperson (optional) Non-affiliated Qualifications - A well-respected person from any background with prior experience of having served/ serving in an EC	Dr. Surendra Kumar Associate Professor & Head Department of Neurology Rajendra Institute of Medical Sciences (RIIMS) Ranchi- Jharkhand 834009	MBBS, MD Medicine, DM Neurology PDF Neurophysiology, SSGGIMS Lucknow Ex Assistant Professor & HOD AIIMS, Raipur Served in EC at RIIMS Ranchi as a Member Secretary
3.	Member Secretary/ Affiliated Qualifications - • Should be a staff member of the institution • Should have knowledge and experience in clinical research and ethics, be motivated and have good communication skills Should be able to devote adequate time to this activity which should be protected by the institution	Dr Anuradha Kalani Associate Professor, School of Life Sciences & Biotechnology CSJM University Kanpur UP	PhD (CDRI Lucknow), PDF (USA) Holding Animal Research Coordination Certificate, USA
4.	Alternate Member Secretary (optional) Affiliated Qualifications - • Should be a staff member of the institution • Should have knowledge and experience in clinical research and ethics, be motivated and have good communication skills Should be able to devote adequate time to this activity which should be protected by the institution	Dr Munish Rastogi Assistant Professor & Deputy Director School of Health Sciences CSJM University, Kanpur, UP	MBBS, MD
5.	Basic Medical Scientist(s) Affiliated/ non-affiliated Qualifications - • Non-medical or medical person with qualifications in basic medical sciences • In case of EC reviewing clinical trials with drugs, the basic medical scientist should preferably be a pharmacologist	Dr Prashant Tripathi Associate Professor Department of Biochemistry GSVM Medical College, Kanpur UP Dr Digvijay Sharma Director School Of Health Sciences CSJMU Kanpur UP	M.Sc. (Med. Biochemistry) PhD (NIN-ICMR)
6.	Clinician(s) Affiliated/ non-affiliated	Dr Durgesh Kumar Assistant Professor	MBBS, MD (Physiology) IFA, Diploma in Anesthesia

	Qualifications - • Should be individual/s with recognized medical qualification, expertise and training	Department of Physiology Government Medical College Kannauj Uttar Pradesh	Member Kanpur Diabetic Association Member , Clinical ethical committee in GMC, Kannauj, UP
7.	Legal expert/s Affiliated/ non-affiliated Qualifications - • Should have a basic degree in Law from a recognized university, with experience • Desirable: Training in medical law.	Dr Sashikant Tripathi Director & Head Atal Bihari Vajpayee School of Legal Studies C.S.J.M. University, Kalyanpur Kanpur 208024. Dr RK Pandey Professor, Dept. of Law V.S.S.D. College Kanpur 208002	
8.	Social scientist/ philosopher/ ethicist/theologian Affiliated/ non-affiliated Qualifications - • Should be an individual with social/ behavioural science/ philosophy/ religious qualification and training and/or expertise and be sensitive to local cultural and moral values. Can be from an NGO involved in health-related activities	Dr. Patanjali Mishra Director & Head Department of Life Long Learning & Extension C.S.J.M. University, Kalyanpur Kanpur 208024. Prof Himanshu Shekhar Jha Department of Sociology, Social Science & Social Work Dr. Shakuntala Misra National Rehabilitation University Mohaan Road, Lucknow-226017	
9.	Lay person(s) Non-affiliated Qualifications - • Literate person from the public or community • Has not pursued a medical science/ health related career in the last 5 years • May be a representative of the community from which the participants are to be drawn • Is aware of the local language, cultural and moral values of the community • Desirable: involved in social and community welfare activities	Mr. Mukesh Kumar 96/18 colonelganj Kanpur 208021 Mr. Mehlal Village: Navalpur, Post: Jakhavan Sant Ravidas Nagar 221224	LLM, Social Worker Usually participate in Blood donation drive

[Handwritten signature]

No. 25/66/2011 - AWD
Government of India
Ministry of Fisheries, Animal Husbandry and Dairying
Department of Animal Husbandry and Dairying
O/o Committee for the purpose of Control and Supervision of Experiments on Animals

Krishi Bhawan, New Delhi-110001
Dated: 04.08.2020

To,

Dr Ajay Kumar Gupta, Chairman IAEC,
University Institute of Pharmacy,
Chhatrapati Shauji Maharaj University,
Kalyanpur, Kanpur- 208024, Uttar Pradesh
Email:ajaympgupta@gmail.com
Mobile: 9415510866

Subject: Renewal of Registration and Reconstitution of Institutional Animals Ethics Committee (IAEC)- regarding

Sir,

The registration of Animal House Facility of your establishment with CPCSEA has been renewed **for a period of five years from the date of issue of this letter.**

2. The new registration number of Animal House Facility of your establishment is **1589/GO/Re/S/12/CPCSEA** for Research for **Education purpose on small animals**. Henceforth, the new registration number may kindly be quoted in all your future correspondence.

3. No in-house breeding shall be undertaken by the establishment without prior permission from CPCSEA.

4. The CPCSEA has accepted the following members recommended by the establishment.

Sl. No.	Name of the IAEC Members	Designation in IAEC
1	Dr Ajay Kumar Gupta	Biological Scientist, Chairperson
2	Dr. Prakash Chandra Gupta	Scientist Incharge of Animal House Facility, Member Secretary
3	Dr. Shashwat Katiyar	Scientist from different biological discipline
4	Dr Raj Narayan	Veterinarian
5	Dr Nisha Sharma	Scientist from different biological discipline

5. CPCSEA hereby nominates the following members to the Institutional Animals Ethics Committee (IAEC) of your establishment:

Sl. No.	Details of Nominee (s)	Nominated as
1	Dr. Jiaur R. Gayen Assistant Professor, AcSIR, Scientist, PKM Division, PCN – 104, CSIR – Central Drug Research Institute, Sector – 10, Jankipuram Extension, Sitapur Road, Lucknow – 226 031, Uttar Pradesh Contact No :08874201999 Email :jrgayen@gmail.com	Main Nominee
2	Dr. Alok Kumar Shukla Associate Professor, Amity Institute of Pharmacy, Amity University, Uttar Pradesh, Lucknow Campus, Near Malhaur Railway Station, Gomti Nagar Extn., Lucknow - 226028, Uttar Pradesh Contact No :9039298670 Email :alokshukla51@rediffmail.com	Link Nominee

Contd.

3	Dr. Sharad Sharma Senior Principal Scientist, Toxicology Division, CSIR-Central Drug Research Institute, Lucknow- 226031, Uttar Pradesh Contact No :9415109297 Email :sharadmandira@yahoo.com	Scientist from outside the Institute
4	Prof. Salil Kumar Srivastava Professor and HoD, Deptt of Pharmacology, Career Institute of Medical Sciences, Sitapur – Hardoi Bypass Road, Near I.I.M., Ghailla, Lucknow, Uttar Pradesh – 226020 Contact No :9415136663 Email :dr_salil@hotmail.com	Socially Aware Nominee

(Please note that any change in IAEC members can be made only with prior approval of CPCSEA.)

6. The IAEC is valid for a period of five years and is coterminous with renewed period of registration. IAEC is required to be reconstituted at the time of renewal of registration as per CPCSEA guidelines.

7. You are requested to convene the meeting of the re-constituted IAEC within a period of 30 days and upload the same on the website of the CPCSEA.

8. It is stated that only above approved IAEC members shall sign, with date, on the attendance sheet of the IAEC meetings, and decisions will be taken only in meetings where quorum is complete. The quorum for holding IAEC meeting is six (6), and Main Nominee, Scientist from outside of the Institute and Socially aware must be present in such meetings. Link Nominee can attend in case main nominee conveys his unavailability in writing to the chairman IAEC. Any decision taken in the meetings of IAEC without quorum shall be considered invalid.

9. It is also to inform you that before commencing any research on large animals you are required to send research protocols with due recommendation of IAEC to CPCSEA for further approval (procedure for submission of Research Protocols is available on the website of CPCSEA).

Yours sincerely,



(Dr. S.K. Dutta)

Member Secretary (CPCSEA)

Copy for necessary action to: Nominees of CPCSEA.

The Main Nominee is requested to ensure that the IAEC meetings are held regularly as stipulated in the SOP of CPCSEA and submit the Annual Inspection Reports of the Animal House Facility regularly on the Website of CPCSEA.